



Potential of Pre-treatment in Enhancing of Transformation Efficiency In-planta Agrobacterium-mediated Genetic Transformation on Soybean (*Glycine max* L.) Seeds

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ABSTRACT

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The genetic modification of soybean continues to be developed to meet market and consumer demands. However, transformation efficacy has been inconsistent. To enhance this efficacy, various pre-treatment methods can be applied. This study aimed to evaluate the potential of the soaking method as a pre-treatment to improve the in-planta transformation efficiency of soybean seeds. Soybean seeds of the Dega I variety were used in this study, which involved four infection methods. In the first and second methods, seeds were directly infected by soaking in a bacterial suspension for 30 and 60 minutes, respectively. In the third and fourth methods, seeds were first pre-treated by soaking in warm water at 35 °C and 45 °C for 10 min before being soaked in the bacterial suspension for 30 min. Transformation efficiency was confirmed through PCR detection of the CaMV-35S promoter and *nptII* genes, with successful transformation indicated by the presence of 235 bp and 550 bp DNA bands, respectively. The results showed that transformation efficiency increased to 30% when seeds were pre-treated in warm water at 35 °C before inoculation for 30 min. In contrast, direct infection without pre-treatment resulted in an efficacy ranging from 4% to 21%. These findings highlight that seed soaking can serve as an effective heat pre-treatment method to enhance the efficiency of in-planta genetic transformation in soybean seeds.

Abbreviation: Base pairs (bp), Cauliflower Mosaic Virus (CaMV), Neomycin phosphotransferase II (*nptII*), Polymerase chain reaction (PCR).

Introduction

Soybean (*Glycine max* L.) is one of the world's major agricultural commodities, alongside rice and wheat, due to its high demand. It is a rich source of protein and essential nutrients, including carbohydrates, minerals, isoflavones, and vitamins, all of which contribute to human health. Soybean seeds are widely used as food ingredients in products such as tofu, soymilk, tempeh, and natto (Carneiro et al., 2019; Gallino et al., 2022; Li et al., 2017). Continuous improvements in soybean cultivars are essential to meet global market demands. The

development of superior varieties aims to enhance resistance to pests and diseases, improve production quality and aesthetics, and increase nutritional value (Bezerra et al., 2023). Genetic improvement can be achieved through conventional breeding and biotechnological approaches. Hybridization, a traditional method of developing superior varieties, often requires a long time and results in genetic heterogeneity (Hada et al., 2018).

Among biotechnological approaches, *Agrobacterium*-mediated genetic transformation is

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one of the most widely used methods for developing genetically modified soybean. This method continues to be refined to enhance gene stability and improve transformation efficiency (Yang et al., 2019). Genetic transformation involves inserting foreign genes into the plant genome, with *Agrobacterium*-mediated transformation being preferred due to its simplicity and cost-effectiveness. *Agrobacterium tumefaciens*, a soil bacterium that causes crown gall disease, carries a tumor-inducing (Ti) plasmid, along with virulence and chromosomal virulence genes. These features make *A. tumefaciens* an effective tool for transferring foreign genes into plants (Mayavan et al., 2015). Traditional plant genetic transformation methods typically rely on *in vitro* culture, which requires aseptic conditions to prevent contamination from fungi or bacteria (Chen et al., 2018; Kumari et al., 2016; Souza et al., 2017). However, *in vitro* culture demands specialized expertise, costly equipment, and controlled environments, making it an expensive and complex approach (Handayani et al., 2022). Furthermore, soybean is considered a difficult species to genetically transform, and transformation efficiency remains relatively low (Jia et al., 2015; Zhang et al., 2016). *Agrobacterium*-mediated transformation often necessitates *in vitro* plant regeneration, further complicating the process and limiting success rates. To address these challenges, alternative methods such as *in-planta* genetic transformation have been developed. This approach offers a simpler and more efficient alternative by eliminating the need for *in vitro* culture (Purwantoro et al., 2023). *In-planta* transformation enables the direct infection of target plant organs using an *Agrobacterium* suspension, making it a practical method for obtaining a high number of transformant plants within a shorter timeframe (Jan et al., 2016; Karthik et al., 2018; Mangena, 2019; Suputri et al., 2019; Tarafdar et al., 2019). Studies on *in-planta* genetic transformation in soybean seeds have reported transformation efficiencies of 3.8% (Paz et al., 2006), 6.71% (Jia et al., 2015), 10% (Li et al., 2017), and 14.51% (Hada et al., 2018). Similar methods have been applied to other species, with transformation efficiencies of 16.7% in *Citrus nobilis* (Suputri et al., 2019) and 8.1% in *Macrotyloma uniflorum* Lam. Verdc. (Amal et al., 2020). Despite its practicality and cost-effectiveness, *in-planta* transformation still presents challenges in achieving high efficiency (Jia et al., 2015). Therefore, this study aimed to enhance transformation efficiency through specific pre-treatment strategies.

Pre-treatment methods are commonly used to address seed characteristics that may hinder imbibition, a crucial process during germination (Amusa, 2011; Pérez-García and González-Benito,

2005). Several factors can affect seed permeability during imbibition, including hard seed coats, seed dormancy, and restricted water uptake (Alvarado et al., 2015). Various pre-treatment techniques have been developed to overcome these issues, such as scarification, mechanical disruption, seed priming, and seed soaking (Fredrick et al., 2017). Among these methods, seed soaking in hot water has been shown to enhance imbibition and germination, particularly in dormant and hard-coated seeds. High-temperature water softens the seed coat, thereby improving water and oxygen permeability. Additionally, water uptake during imbibition increases seed size compared to dry conditions (Amusa, 2011; Aydın and Uzun, 2001; Finch-Savage et al., 2004; Pérez-García and González-Benito, 2005).

Since genetic transformation involves *Agrobacterium tumefaciens* infection to facilitate gene transfer, ensuring high seed permeability may contribute to improved transformation efficiency. Studies have shown that heat treatment enhances genome editing efficiency in *Arabidopsis thaliana* and *Nicotiana tabacum* (Blomme et al., 2022) as well as *Citrus sinensis* (LeBlanc et al., 2019). Wang et al. (2016) reported that this method can also induce intergenerational high-temperature stress tolerance in *Triticum aestivum*. Furthermore, heat treatment has been found to improve transformation efficiency in *A. thaliana* (Malzahn et al., 2019) and *T. aestivum* (Milner et al., 2020). However, the effect of heat pre-treatment on transformation efficiency remains underreported in other plant species, particularly soybean. Therefore, this study aimed to investigate the potential of heat pre-treatment applied to soybean seeds to enhance transformation efficiency in *in-planta Agrobacterium*-mediated genetic transformation. The findings of this study provide a practical reference for genetic transformation methods using plant seeds and commonly available laboratory equipment, which may be applicable to other plant species.

Material and methods

Preparation of *A. tumefaciens*

The *A. tumefaciens* used in this study was strain GV3101 containing the binary vector pRI101AN-SoSPS1 (Fig. 1). This strain was collected from the Center for Development of Advanced Science and Technology, Universitas Jember. *A. tumefaciens* was cultured on Luria Bertani Agar (supplemented with 100 ppm Rifampicin, 50 ppm Kanamycin, and 12.5 ppm Gentamicin) for 48 h at 28 °C in the dark. Once the bacteria grew, quality control was carried out by PCR to detect the presence of the 35S-CaMV promotor gene.

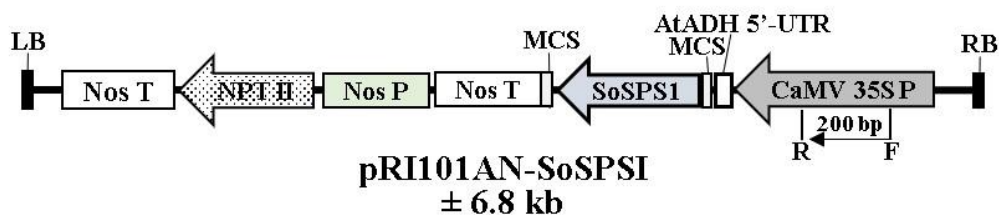


Fig. 1. T-DNA map of binary vector strain pRI101AN-SoSPS1.

Seed soaking-incorporated in-planta Agrobacterium-mediated genetic transformation

Soybean used in this study was *Dega I* variety collected from the Agency of Rice Seeds and Secondary Crops (Yogyakarta, Indonesia). The *A. tumefaciens* suspension was prepared in 50 mL LB broth contained 100 ppm Rifampicin, 50 ppm Kanamycin, and 12.5 ppm Gentamicin. The suspension was agitated at 150 rpm and 28 °C for 17 h in dark condition until it reached OD₆₀₀ = 1.0. This

research consisted of 4 infection methods. First and second methods, seeds were infected directly by soaking them in bacterial suspension for 30 and 60 min, respectively. For Third and fourth methods, the soybean seeds were soaked for 10 min as pre-treated in lukewarm distilled water adjusted in two different temperatures (30 and 45 °C), respectively (Fig. 2A). After being soaked, seeds undergo imbibition and enlarge in size (Fig. 2B). Afterwards, the pre-treated seeds were soaked with the bacterial suspension for 30 min (Fig. 2C).

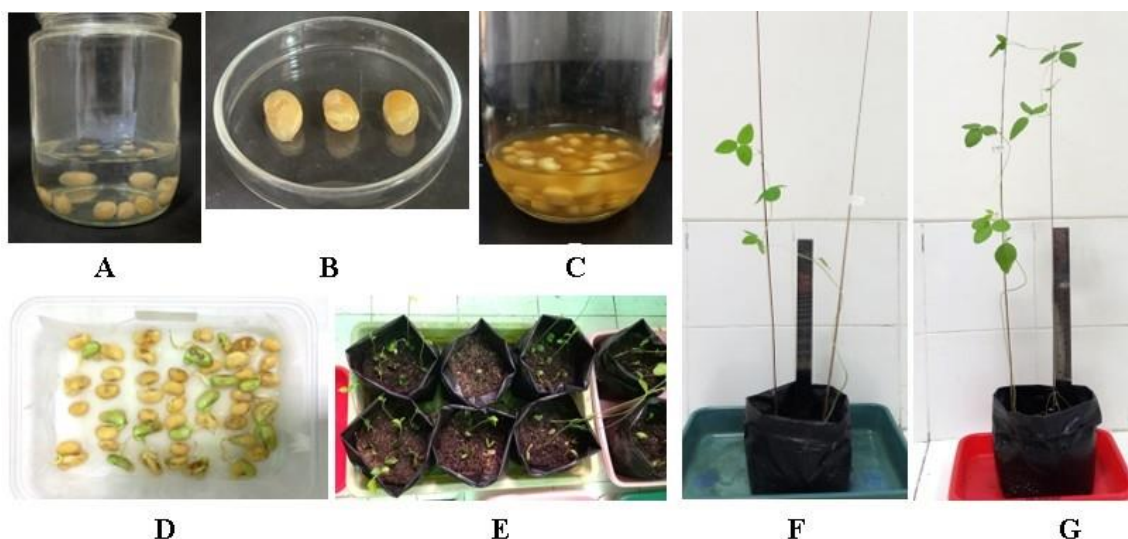


Fig. 2. Procedures *Agrobacterium*-mediated transformation in planta soybean seeds, **A)** Pre-heating seeds soaked in warm water at 35 and 40 °C for 10 min, **B)** Imbibition seeds after treatment, **C)** *Agrobacterium* infection seeds, **D)** Selection of seedling resistance to kanamycin, **E)** Seedlings on medium, **F)** wild-type plants, **G)** transgenic plants.

Screening of putative transformant seedlings

After infection with *A. tumefaciens*, seeds were rinsed with sterile distilled water. The seeds were then placed on filter paper infused with 50 ppm Kanamycin to induce germination. The seeds were incubated for 7 d at room temperature. Normal seedlings were assumed to be the putative transformants and transplanted into planting medium mixture of soil and fertilizer in a greenhouse for the enlargement process.

Conformation of transgenic plants

DNA extraction was performed based on Irsyadi et al. (2024) using soybean leaves collected from 1 month-old, transplanted seedlings as well as wild-type plants. Genomic DNA was subjected to PCR to detect the 35S-CaMV promoter and *nptII* genes. 35S-CaMV PCR was performed using forward primer P35S-S (5'-GATAGTGGGATTGTGCGTCA-3') and reverse primer P35S-R (5'-GCTCTACAAAATGCCATCA-3') which produced a PCR product measuring 235 bp. The *nptII* PCR was performed using *nptII*-F1 (5'-

GTCATCTCACCTTGCTCCTGCC –3') and *nptII*-R1 (5'- GTCCGTTGGTCGGTCATTTCG –3') which a PCR product 550 bp. About 1 µL soybean DNA (200 ng µL⁻¹) was mixed with 6 µL GoTaq® Green master mix (Promega, USA), 0.5 µL for each primer (10 mM), and 2 µL Nuclease-free water. DNA samples were amplified using PCR SensoQuest® (LabCycler, Germany). DNA amplification was carried out using the following setting, namely pre-denaturation at 95 °C for 3 min and followed by 34 cycles of denaturation at 95 °C for 30 s, annealing at 59 °C for 30 s, elongation at 72 °C for 60 s, and final elongation at 72 °C for 5 min. The PCR products were confirmed through electrophoresis (Mupid-EXu®, Japan) on 1.5% agarose gel based on the presence a single band 235 and 550 bp, respectively. The DNA bands were visualized on blue light trans-illuminator BluPAD (Bio-Helix®, Taiwan).

Data analysis

Data on plant resistance and transformation efficiency were analyzed descriptively. Comparison of transformation efficiency between direct infection and pre-treatment methods was analyzed using T-test with α : 0.05 and standard deviation using R-studio software.

Results

Preliminary detection of recombinant vector in *A. tumefaciens* colonies

Based on Figure 3, bacterial colonies from Plates 1 and 3 were confirmed to carry the binary vector pRI101AN-SoSPS1, as indicated by the presence of a 235 bp DNA amplicon. This confirmation is essential to ensure that the bacterial strains used contain the targeted vector and gene of interest. Additionally, culturing bacteria on fresh plates serves to rejuvenate the colonies, increase

Agrobacterium stock carrying the binary vector, and allow for longer storage under optimal conditions.

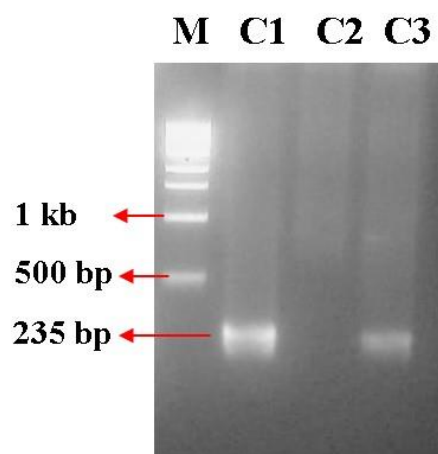


Fig. 3. Detection of *Agrobacterium* colonies containing 35S-CaMV gene with band size 235 bp on binary vector pRI101AN-SoSPS1.

Selection of kanamycin-resistant seedlings

Table 1 shows that seed soaking–incorporated genetic transformation resulted in higher germination rates (42–50%) compared to direct infection, which yielded lower germination rates ranging from 2% to 26%. These findings suggest that seed soaking may enhance seed imbibition during *A. tumefaciens* inoculation, leading to improved resistance to kanamycin. In contrast, wild-type and non-transformant seeds failed to germinate under kanamycin exposure (Fig. 2D). The putative transformed seeds were transplanted into the growing medium and observed for one week (Fig. 2E). After seven weeks, putative transformant plants exhibited growth, as confirmed by DNA analysis (Figs. 2F and G).

Table 1. Screening of resistant to kanamycin and transformation efficiency of transgenic soybean.

<i>Agrobacterium</i> infection treatments	No. of seeds	No. of resistant to kanamycin	Percentage of resistant to kanamycin (%)	No. of transformant	Transformation efficiency (%)
Soaking in suspension for 30 min	50	13	26	4	8
Soaking in suspension for 60 min	50	1	2	0	0
Pre-treatment soaking water at 35 °C for 10 min and suspension for 30 min	50	25	50	15	30
Pre-treatment soaking water at 45 °C for 10 min and suspension for 30 min	50	21	42	6	12

Transformation efficiency of soybean

Molecular analysis of putative transformed and wild-type plants was conducted to amplify specific genes.

PCR analysis confirmed the presence of 35S-CaMV and *nptII* gene amplicons, measuring 235 bp and 550 bp, respectively, in the genomic DNA of transgenic

soybean plants (Fig. 4). This indicates the successful insertion of T-DNA, whereas no corresponding DNA bands were detected in wild-type plants. As shown in Table 1, optimizing *in-planta* genetic transformation by pre-soaking seeds in warm distilled water at 35 °C and 45 °C resulted in transformation efficiencies of 30% and 12%, respectively. In contrast, direct infection for 30

minutes yielded an 8% efficiency, while a 60-minute direct infection did not produce any transformant plants. The overall transformation efficiency achieved in this study was 25%. These results highlight that pre-soaking seeds at 35 °C is an effective pre-treatment for enhancing transformation efficiency.

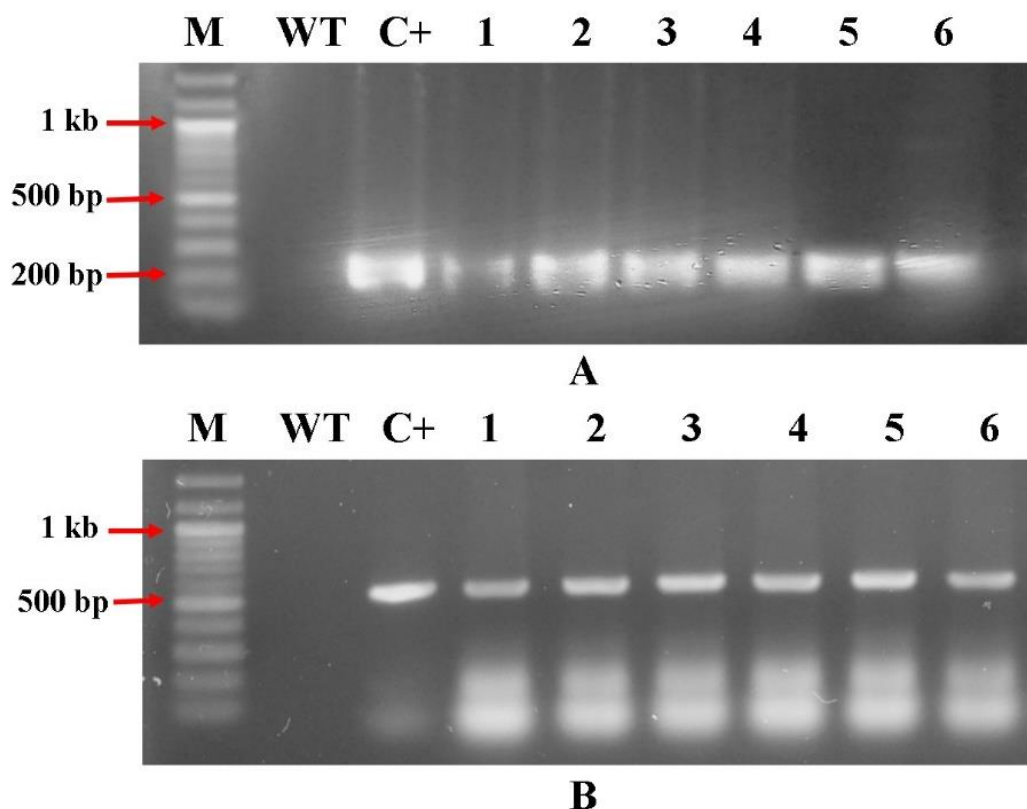


Fig. 4. Amplicon gene from PCR product on 1.5% agarose gel, **A)** 35S-CaMV with a band size 235 bp, **B)** *nptII* with a band size 550 bp. WT: Wild-type, M: ladder 1kb, C+: *Agrobacterium* colony, Lines 1-6: DNA samples of transgenic soybean plants.

Comparison of transformation efficiency between direct infection and warm water pre-treatment of soybean seeds

As shown in Figure 5, transformation efficiency was significantly higher when seeds were pre-soaked in warm distilled water (35–45 °C), achieving $21 \pm 9\%$, compared to direct seed infection, which resulted in only $4 \pm 4\%$, based on the t-test. This pre-treatment demonstrated that heating seeds before infection effectively optimized T-DNA transfer to the plant genome. Additionally, seeds subjected to warm water pre-treatment increased in size and became softer compared to non-soaked seeds (Fig. 2B).

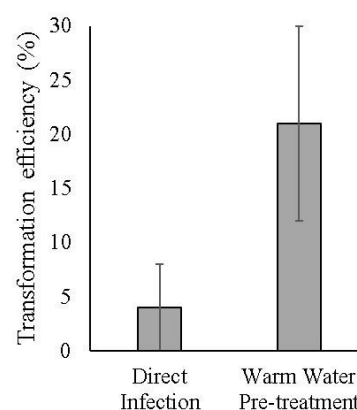


Fig. 5. Comparison of transformation efficiency between direct infection and warm water pre-treatment soaking soybean seeds before infection using t-test with *p*-value: 0.048* and α : 0.05.

Discussion

The *in-planta* method is a simple, effective, and efficient genetic transformation technique that does not require *in vitro* culture. It serves as an alternative approach for achieving high transformation yields. In this method, the target organ is directly infected with an *Agrobacterium* suspension (Jan et al., 2016; Karthik et al., 2018; Mangena, 2019; Suputri et al., 2019; Tarafdar et al., 2019). Zhang et al. (2016) reported that soybean is one of the most challenging species for genetic transformation. Consequently, research efforts continue to focus on enhancing transformation efficiency in soybeans (Yang et al., 2019). Seeds are used as target organs for transformation because their rapidly dividing cells during germination facilitate the integration of target genes into the plant genome (Amal et al., 2020). The density of the *Agrobacterium* suspension significantly affects transformation efficiency (Li et al., 2017). An optical density (OD₆₀₀) of 0.8–1.0 with a 30-minute infection period has been found effective for soybean genetic transformation (Amal et al., 2020; Jia et al., 2015; Yang et al., 2019). *A. tumefaciens* strain GV3101, which has a C5b chromosomal background with nopaline opine and carries selectable marker genes conferring resistance to gentamicin and rifampicin, is commonly used in transformation studies (Yadav et al., 2014). The pRI101AN binary vector enhances gene expression and transformation efficiency in transgenic plants, while its low DNA copy number minimizes disruption to the plant genome (Bahramnejad et al., 2019; Chetty et al., 2013).

Screening is a crucial step in genetic transformation. The selection of putative transformant plants typically involves antibiotic or herbicide resistance markers. However, a common challenge in this process is the presence of false-positive transgenic plants (Li et al., 2017). Successfully transformed plants exhibit normal growth without signs of damage such as chlorosis, necrosis, or organ deformities (Mangena, 2019). The *neomycin phosphotransferase II* (*nptII*) gene serves as a selectable marker, providing resistance to kanamycin in transgenic plant selection. Kanamycin inhibits protein synthesis and ribosome binding in wild-type plants, whereas the presence of the *nptII* gene allows transgenic plants to survive (Davey et al., 2010). However, natural resistance characteristics can also be used for selection. High antibiotic concentrations cause etiolation and death in non-transformant plants while effectively eliminating escape mutants and increasing selection pressure on transformed plants (Chen et al., 2020). Kanamycin concentrations of 50 ppm have been successfully used for selecting transgenic *Cosmos sulphureus* Cav. (Irsyadi et al., 2022; Purwantoro et al., 2023), *G. max* (Isda, 2012), and *Saccharum officinarum* (Fibriani et al., 2019).

Hada et al. (2018) also reported that 35 ppm kanamycin was effective for shoot selection in half-seed soybean transformation.

Low concentrations of antibiotic agents during the screening stage led to an increased number of escapees from non-transformed plants. This is because different plant species exhibit varying levels of tolerance to kanamycin at different concentrations (Dalton et al., 1995). Chen et al. (2020) reported that *A. thaliana* seedlings tolerated kanamycin concentrations up to 1,400 ppm, while *Lycopersicon esculentum* was tolerant at levels below 100 ppm (Subaila and Saleh, 2010). In contrast, 100 ppm kanamycin successfully screened 70% of putative transformed plants in transgenic *M. uniflorum* Lam. Verdc (Amal et al., 2020). Warm water pre-treatment by soaking seeds accelerates seed coat loosening, tissue softening, and imbibition (Amusa, 2011; Pérez-García and González-Benito, 2005). Imbibition refers to the water absorption process in seeds, which follows a triphasic pattern. In the initial stage, seeds rapidly absorb water due to low water potential (Alvarado et al., 2015; Aydın and Uzun, 2001; Qadir et al., 2012). The second stage is characterized by reduced water absorption as the embryo prepares for germination. The final stage sees a rapid increase in water uptake, resulting in seed coat rupture and radicle emergence (Doria et al., 2019; Hada et al., 2018; Siddique and Kumar, 2018). Additionally, soaking seeds removes dirt and chemicals from the seed coat (Mwase and Mvula, 2011) and accelerates seed dormancy breakdown while enhancing seed permeability. Shine et al. (2011) reported that soaking soybean seeds in water for 40 minutes resulted in a 33% increase in water uptake. An impermeable seed coat is a major challenge in legume germination, contributing to dormancy and hardness (Tadros et al., 2011). Hot water treatment has been widely used in legumes to reduce seed coat impermeability (Muhammad and Amusa, 2003). In legumes, water permeability is influenced by weak tissue structures in the strophiole, which facilitate water absorption. Furthermore, water transport carries phenolic compounds from the embryo to the aleurone layer of the endosperm, enhancing seed metabolism and germination (Amri, 2010). These conditions improve the effectiveness of *Agrobacterium* infection and facilitate foreign DNA transfer into the plant genome. Moreover, soaking seeds increases their water content and size compared to non-soaked seeds (Finch-Savage et al., 2004). Heat treatment has been shown to improve genome editing efficiency and increase indel rates in *A. thaliana* and *N. tabacum* (Blomme et al., 2022) as well as *C. sinensis* (LeBlanc et al., 2019). Additionally, heat priming enhances the induction of intergenerational high-temperature stress tolerance in *T. aestivum* (Wang et al., 2016).

In this study, we successfully increased *in-planta* genetic transformation efficiency in soybean to 21% using heat pre-treatment. Previous *in-planta* transformation studies in soybeans have reported lower efficiencies, such as 3.8% (Paz et al., 2006) and 16% (Yang et al., 2019) using half-seed methods. Other transformation approaches, such as chlorine gas treatment, achieved efficiencies of only 10% (Li et al., 2017), 6.71% (Jia et al., 2015), and 4.6–6.1% in *M. uniflorum* Lam. Verdc (Amal et al., 2020). Furthermore, heat treatment at 37 °C has been reported to enhance transformation efficiency in *T. aestivum* (Milner et al., 2020), while temperatures ranging from 28 to 37 °C have been found effective for *A. thaliana* (Malzahn et al., 2019).

Conclusions

Soaking soybean seeds at 35 °C for 30 minutes prior to infection, followed by *Agrobacterium* infection for 30 minutes, resulted in a transformation efficiency of 30%. Additionally, the warm water soaking pre-treatment method significantly enhanced transformation efficiency compared to direct infection, achieving an average efficiency of 21±9% based on the T-test. The successful insertion of the 35S-CaMV and *nptII* genes into the plant genome was confirmed through PCR analysis, which detected DNA bands of 235 bp and 550 bp, respectively. This research provides a simple and efficient approach for genetic transformation using seeds as target organs.

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Author Contributions

Conceptualization, MBI and EO; methodology, MBI, EO, and SKS; validation, EH, IAR, SNA, and MBI; formal analysis, MBI, EO; resources, IAR, EH, and SNA; data curation, MBI, and SKS; writing—original draft preparation, MBI, and SKS; writing—review and editing, MBI, SKS, S and SNA; visualization, MBI and S; supervision, IAR. All authors have read and agreed to the published version of the manuscript.

Conflict of Interest

The authors indicate no conflict of interest in this work.

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